

2161

Application No. 10/006,951

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Scot A. Reader

Applicant : Scot A. Reader
 Application No. : 10/006,951
 Filed : November 5, 2001
 Title : METHOD AND APPARATUS FOR DETERMINING PATENT
 LICENSE FEES USING ALGORITHMIC EXPOSURE RATES
 Grp./Div. : 2161
 Examiner : Not yet assigned
 Docket No. : 100/006

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Technology Center 21

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Assistant Commissioner for Patents
 Washington, D.C. 20231

3424 Woodcliff Road
 Sherman Oaks, CA 91403
 February 25, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

By

Scot A. Reader
 Reg. No. 39,002
 818.788.6503

Enclosures: PTO-1449, w/references

MAR 11 2002

FORM PTO-1449 & TRANS		Attorney Docket Number	100/006	COPY OF PAPERS ORIGINALLY FILED
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/006,951	
		Filing Date	November 5, 2001	
		Applicant(s)	Scot A. Reader	RECEIVED
		Group Art Unit	2161	MAR 18 2002
		Examiner Name	Not yet assigned Technology Center 2	

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	<p>GREGORY J. BATTERSBY & CHARLES L. GRIMES, Licensing Royalty Rates 2001 Ed., 1-19 (2001)</p> <p>University of Texas Law School Fifth Annual Adv. Patent Law Institute, Seminar Materials for IP Valuations Panel Discussion, David McCombs, Moderator, 45 pages (Nov. 2000)</p> <p>NICK BERTOLOTTI, "Valuing Intellectual Property," Managing Intellectual Property 28-32 (Feb. 1995)</p> <p>RICHARD A. NEIFELD, "A Macro-Economic Model Providing Patent Valuation and Patent Based Company Financial Indicators," 83 J.P.T.O.S. 211-22 (Mar. 2001)</p> <p>RICHARD A. NEIFELD, "A Macro-Economic Model Providing Patent Valuation and Patent Based Company Financial Indicators," www.patentvaluepredictor.com/publ_30apr2001_article.asp (Apr. 30, 2001)</p> <p>GORDON V. SMITH & RUSSELL L. PARR, Valuation of Intellectual Property and Intangible Assets, 3d Ed., 333-83 (2000)</p> <p>MELVIN SIMENSKY & LANNING G. BREYER, The New Role of Intellectual Property in Commercial Transactions: Recent Trends in the Valuation, Exploitation and Protection of Intellectual Property, 58-61 (1994)</p> <p>ROBERT PITKETHLY, "The Valuation of Patents: A Review of Patent Valuation Methods with Consideration of Option Based Methods and the Potential for Further Research," Judge Institute Working Paper WP 21/97 (March 1997)</p>

EXAMINER SIGNATURE

DATE
CONSIDERED

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PATENT & TRADEMARK

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	KEVIN G. RIVETTE & DAVID KLINE, "Discovering New Value in Intellectual Property," Harvard Business Review Reprint R00109 (Jan.-Feb. 2000) "Introducing ... TAEUSWorks(SM)," www.tacus.com/docs/04-01-taeusworks.html (Apr. 2001)

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	